

# APPLICATION DATA SHEET

Electronic Version 0.0.11

Stylesheet Version: 1.0

Publication Filing Type: new-utility

Application Type: utility

Title of Invention: CONVERTIBLE FINANCIAL INSTRUMENTS WITH CONTINGENT PAYMENTS

Request for Early Publication: Yes

Suggested Representative Figure: 1

Customer Number Attorney: 21121



Customer Number Correspondence Address: 21121



## Continuity Data:

This application is a non-provisional of provisional 60/311,574 2002-08-10 US pending

## INVENTOR(s):

Primary Citizenship: US

Given Name: James

Middle Name: R

Family Name: Birle

Name Suffix: Jr

Residence City: Summit

Residence State: NJ

Residence Country: US

Address: 37 Sweetbriar Rd  
Summit NJ, 07901 US

Primary Citizenship: US

Given Name: David

Middle Name: K  
Family Name: Dolan  
Residence City: Annandale  
Residence State: VA  
Residence Country: US  
Address: 6535 Renwood Ln  
Annandale VA, 22003 US

Primary Citizenship: US  
Given Name: Jeffrey  
Middle Name: N  
Family Name: Edwards  
Residence City: Basking Ridge  
Residence State: NJ  
Residence Country: US  
Address: 55 Meeker Rd  
Basking Ridge NJ, 07920 US

Primary Citizenship: US  
Given Name: Yonathan  
Family Name: Epelbaum  
Residence City: New York  
Residence State: NY  
Residence Country: US  
Address: 500 Chambers St #4G  
New York NY, 10282 US

Primary Citizenship: 07450  
Given Name: Frederick  
Middle Name: J  
Family Name: Fiddle  
Residence City: Ridgewood  
Residence State: NJ  
Residence Country: US  
Address: 450 Knollwood Rd

Ridgewood NJ, 07450 US

Primary Citizenship: US  
Given Name: Emerson  
Middle Name: P  
Family Name: Jones  
Residence City: Greenwich  
Residence State: CT  
Residence Country: US  
Address: 72 Rockwood Ln  
Greenwich CT, 06830 US

Primary Citizenship: 10021  
Given Name: Stuart  
Middle Name: C  
Family Name: Kaperst  
Residence City: New York  
Residence State: NY  
Residence Country: US  
Address: 180 E 79th St #10F  
New York NY, 10021 US

Primary Citizenship: US  
Given Name: Todd  
Middle Name: K  
Family Name: Kaplan  
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Residence State: IL  
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Address: 254 Scott Ave  
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Primary Citizenship: US  
Given Name: Daniel

Middle Name: Y  
Family Name: Kerstein  
Residence City: Woodmere  
Residence State: NY  
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Address: 1010 Southend  
Woodmere NY, 11598 US

Primary Citizenship: BG  
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Residence State: NY  
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Primary Citizenship: US  
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Middle Name: P  
Family Name: Luciano  
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Residence State: NJ  
Residence Country: US  
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Morristown NJ, 07690 US

Primary Citizenship: US  
Given Name: Thomas  
Middle Name: H  
Family Name: Patrick  
Name Suffix: Jr  
Residence City: New York  
Residence State: NY

Residence Country: US  
Address: 1365 York Ave #8K  
New York NY, 10021 US

Primary Citizenship: US  
Given Name: Paul  
Middle Name: A  
Family Name: Pepe  
Residence City: New York  
Residence State: NY  
Residence Country: US  
Address: 23 E 10th St #109  
New York NY, 10003 US

Primary Citizenship: US  
Given Name: Eric  
Family Name: Steifman  
Residence City: New York  
Residence State: NY  
Residence Country: US  
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New York NY, 10023 US

Primary Citizenship: US  
Given Name: Russell  
Middle Name: L  
Family Name: Stein  
Residence City: Englewood Cliffs  
Residence State: NJ  
Residence Country: US  
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Englewood Cliffs NJ, 07632 US

Primary Citizenship: US

Given Name: **Brennan**  
Middle Name: **J**  
Family Name: **Warble**  
Residence City: **Bronxville**  
Residence State: **NY**  
Residence Country: **US**  
Address: **3 Sherman Ave**  
**Bronxville NY, 10708 US**

Primary Citizenship: **US**  
Given Name: **Richard**  
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Family Name: **Green**  
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Residence State: **NY**  
Residence Country: **US**  
Address: **10 Castle Ct**  
**Woodbury NY, 11797 US**